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EFFICACY OF ANTHELMINTICS: SPECIFIC RECOMMENDATIONS FOR CANINES (REVISION 1)

Revision at Step 9

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This Guideline has been developed and revised by the appropriate VICH Expert Working Group in accordance with the VICH Process. At Step 7 of the Process the final draft is recommended for adoption to the regulatory bodies of the European Union, Japan and the USA.

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EFFICACY OF ANTHELMINTICS: SPECIFIC RECOMMENDATIONS FOR CANINES

INTRODUCTION

The present guideline for canines was developed by the Working Group that was established by the Veterinary International Cooperation on Harmonization (VICH), Anthelmintic Guidelines. It should be read in conjunction with the VICH Efficacy of Anthelmintics: General Requirements (VICH GL7) which should be referred to for discussion of broad aspects for providing pivotal data to demonstrate product anthelmintic effectiveness. The present document is structured similarly to VICH GL7 with the aim of simplicity for readers comparing both documents.

The aim of this guideline for canines is: (1) to be more detailed for certain specific issues for canines not discussed in VICH GL7; (2) to highlight differences with VICH GL7 on data requirements and (3) to give explanations for disparities with VICH GL7 guideline.

It is important to note that technical procedures to be followed in the studies are not the aim of this guideline. We recommend that the sponsors refer to pertinent procedures described in detail in other published documents, e.g., World Association for the Advancement of Veterinary Parasitology (WAAVP): Second edition of guidelines for evaluating the efficacy of anthelmintics for dogs and cats, *Veterinary Parasitology* 312: 109815, 2022, and updated versions as they are published.

A. General Elements

1. The Evaluation of Effectiveness Data

The evaluation of effectiveness data is based on parasite counts (adults, larvae) in dose determination and dose confirmation studies; egg counts/larval identification is the preferred method to evaluate effectiveness in field studies.

The controlled test is the most widely accepted of the testing procedures for evaluation of anthelmintic drug effectiveness. However, the critical test may be appropriate for some intestinal species of parasites, e.g., ascarids.

Adequate parasite infection should be defined in the protocol according to regional prevalence, historic data and/or statistical analysis.

2. Use of Natural or Induced Infections

Dose determination studies should be conducted using induced infections with either laboratory strains or recent field isolates.

Dose confirmation studies should be conducted using naturally or artificially infected animals. Where possible, at least one study should be conducted in naturally infected animals; deviation from this requirement should be justified, e.g., applicable laws or regulations prohibit sourcing of naturally infected animals.

Two studies should be conducted for each parasite claimed on the label. If both studies are conducted using experimentally infected animals, then parasites must have originated from naturally occurring infections from different geographical regions no older than 10 years prior to use for inducing infection.

In addition to two dose confirmation studies, the efficacy and safety is generally confirmed by data from field studies.

Echinococcus spp. and *Dirofilaria* spp. testing may be conducted using animals harbouring induced infections due to public health considerations for echinococcosis and the complexity of the claims for heartworm. Due to the zoonotic potential of *Echinococcus* spp., studies conducted using this genus should be carried out under high biosecurity provisions.

For the following helminths, induced infections may also be the only method to determine effectiveness of the product because of difficulties in obtaining a sufficient number of infected animals: *Filaroides milksi*, *F. hirthei*, *Diocotophyma renale*, *Capillaria aerophila*, *C. plica*, *Spirocerca lupi*, *Physaloptera* spp, *Mesocestoides* spp. and *Crenosoma vulpis*. For claims against larval stages, only studies with induced infections are acceptable.

The history of the parasites used in the induced infection studies should be included in the final report.

3. Number of Infective Parasitic Forms Recommended for Induced Infections

The number to be used is approximate and will depend on the isolate. The final number of larvae used in the infection should be included in the final report.

Table 1 shows the range of numbers recommended for common helminths.

Table 1. Range of infective stages used to produce adequate infections in canines for anthelmintic evaluation

Parasite Anatomical Location	Range
Genus Species	
Small Intestine	
<i>Toxocara canis</i>	100 – 500*
<i>Toxascaris leonina</i>	200 – 3,000
<i>Ancylostoma caninum</i>	100 – 300
<i>Ancylostoma braziliense</i>	100 – 300
<i>Uncinaria stenocephala</i>	1,000 – 1,500
<i>Strongyloides stercoralis</i>	1,000 – 5,000
<i>Echinococcus granulosus</i>	20,000 – 40,000
<i>Taenia</i> spp.	5 – 15
Large Intestine	
<i>Trichuris vulpis</i>	100 – 500
Heart	
<i>Dirofilaria immitis</i>	30 – 100 **

* In suckling canines or canines less than 5 months of age.

** For adulticidal or microfilaricidal testing 5 to 15 pairs of adult worms can be transplanted.

4. Recommendations for the calculation of effectiveness

4.1. Criteria to Grant a Claim

To be granted a claim the following pivotal data should be included:

- a) Two dose confirmation studies conducted with a minimum of 6 adequately infected non-medicated animals (control group) in each study. The infection of the animals in the study will be deemed adequate based on historical, parasitological and/or statistical criteria.
- b) The differences in parasite counts between treated and control should be statistically significant ($p \leq 0.05$).

Efficacy should be 90% or higher and calculated and interpreted using the procedure described in Section 4.5 of VICH GL7.

For some parasites with public health, animal welfare/clinical implications, e.g., *E. granulosus* and *D. immitis*, respectively, higher efficacy standards (i.e., up to 100%) may be imposed. The regulatory authority of the region in which the product is intended to be registered should be consulted.

- c) In field studies, effectiveness against helminths will be evaluated examining for the presence or absence of parasitic elements in faecal material or blood. An *Echinococcus* spp. claim does not require field studies due to public health concerns.

4.2. Number of Animals (Dose Determination and Dose Confirmation Studies)

The minimum number of animals required per experimental group is a critical point. Although the number of animals will depend on the ability to process the data statistically according to the adequate statistical analysis it has been recommended, to achieve harmonization, that the inclusion of at least 6 animals in each experimental group is a minimum.

In cases where there are several studies, none of which have 6 adequately infected animals in the control group (for example, important rare parasites), the results obtained could be pooled to accumulate 12 animals in the studies; and statistical significance calculated. If the differences are significant ($p < 0.05$), effectiveness may be calculated and if the infection is deemed adequate, the claim may be granted. Sampling techniques and estimation of worm burden should be similar among laboratories involved in the studies to allow adequate and meaningful extrapolation of the results to the population.

4.3 Adequacy of Infection

The minimum adequate number of helminths in individual control animals should be defined in the protocol. However, final conclusions regarding adequacy of infection will be made as part of the final report based on statistical analysis, historical data, literature review, or expert testimony. Generally, a minimum of 5 nematodes in individual control animals is considered an adequate infection¹. For *Dirofilaria immitis* microfilaria (mff) claims, 300 mff/mL(blood) is considered an adequate infection. Recommended counts (in individual control animals) to be considered adequate for example cestodes include:

Echinococcus spp. - 5 scolices
Taenia spp. - 2 scolices

¹ The recommended minimum numbers are based on a review of published literature and data from studies submitted for regulatory review.

Dipylidium caninum - 2 scolices

4.4 Label Claims

A claim for effectiveness against life stages of each parasite should refer to each stage in the case of natural infections, or age in days in the case of induced infection. Table 2 is provided as a guide for the recommended time of treatment of induced infections.

With the majority of parasites approximately 7 days is a sufficient time period from the termination of treatment until the animals are necropsied. The following parasites are the exception to the above general recommendation:

- *Physaloptera* spp., *S. lupi*, *C. plica*, *D. renale*, *E. granulosus*, *Taenia* spp., *D. caninum*, *Mesocestoides* spp.: 10 to 14 days;
- *C. vulpis*: 14 days;
- *F. milksi*, *F. hirthei*: 42 days;
- *F. osleri*: one-half of the animals at 14 days and the other half at 28 days;
- *D. immitis*: varies by trial design.

Table 2. Recommended time of treatment after infection

Parasite	Adult Stages	Larval Stages
<i>S. stercoralis</i>	5 to 9 days	
<i>T. vulpis</i>	84 days	
<i>A. caninum</i>	> 21 days	6 to 8 days * (L4)
<i>A. braziliense</i>	> 21 days	6 to 8 days (L4)
<i>U. stenocephala</i>	> 21 days	6 to 8 days (L4)
<i>T. canis</i>	49 days	3 to 5 days (L3/L4); 14 to 21 days (L4/L5)
<i>T. leonina</i>	70 days	35 days (L4)
<i>D. immitis</i>	180 days	2 days (L3); 20 to 40 days (L4); 70 to 120 days (L5); 220 days (microfilariae)
<i>E. granulosus</i>	> 28 days	
<i>Taenia</i> spp.	> 35 days	

* For somatic larvae, treat within 2 days prior to parturition.

For claims against transplacental and/or transmammary transmission of *T. canis* somatic larvae of natural or artificially infected pregnant bitches should be treated prior to parturition and the efficacy checked by counting the larvae in the bitch milk and/or the adult worms in the small intestines of the litter.

5. Treatment Procedures

The method of administration (oral, parenteral, topical), formulation and extent of activity of the product will influence the protocol design. It is advisable to consider the weather and animal relationship and bathing with regard to effectiveness of topical formulations.

For oral formulations, palatability studies should be included in the evaluation of the effectiveness of the product. For products administered topically, the impact of weather

(e.g. rainfall, UV light), bathing and coat length should be included in the evaluation of the effectiveness of the product.

6. Animal Selection, Allocation and Handling

Approximately 6-month-old canines are suitable for effectiveness studies. However, there are exceptions:

- *S. stercoralis*: less than 6 months;
- *A. caninum*, *A. braziliense*: 6 to 12 weeks;
- *T. canis*, *T. leonina*: 2 to 6 weeks;
- *D. caninum*: 3 months or older;
- *Mesocestoides* spp.: 8 weeks or older;
- *T. vulpis*: dogs older than 6 months can be used.

Naturally infected animals are selected based on egg output or expelled proglottids for gastrointestinal parasites, and parasitological and/or immunological methods for *D. immitis*. Randomization to treatment group should be performed using an adequate method that should be described in the protocol and final report. Blocking should only be employed if it is expected to reduce residual error in the study. If blocking is used, blocks should be included as a random effect in the statistical model. Nevertheless, blocking is not always the most appropriate method for reducing residual error. Alternative methods may therefore be considered e.g., a suitably selected covariate.

Animal housing, feeding and care should follow strict requirements of welfare for canines. Animals should be acclimated for at least 7 days to the experimental facilities and personnel. Animals should be monitored daily for adverse reactions.

B. Specific Evaluation Studies

1. Dose Determination Studies

No species-specific recommendation.

2. Dose Confirmation Studies

No species-specific recommendation.

3. Field Efficacy Studies

Field (clinical) studies should not be conducted with canines infected with *Echinococcus* spp.

4. Persistent Efficacy

Due to the differing biologies for the helminths of canines and the lack of experience with persistent efficacy for these parasites, no recommendations can be provided.